

00169.001833

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
WILLIAM SIMPSON-YOUNG, et al. ) Examiner: L. Caputo  
Application No.: 09/666,482 ) Group Art Unit: 2876  
Filed: September 20, 2000 )  
For: SMART CARD SYSTEMS AND )  
ELECTRONIC TICKETING )  
METHOD ) April 2, 2004

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

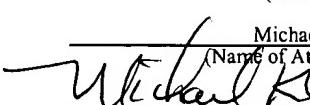
In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,002,062
- (2) U.S. Patent No. 5,353,016

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 2, 2004  
(Date of Deposit)

Michael K. O'Neill (Reg. No. 32,622)  
(Name of Attorney for Applicant)

 April 2, 2004  
Signature Date of Signature

- (3) U.S. Patent No. 5,601,489
- (4) U.S. Patent No. 5,949,492
- (5) U.S. Patent No. 5,973,475
- (6) U.S. Patent No. 6,014,593
- (7) U.S. Patent No. 6,016,298
- (8) U.S. Patent No. 6,055,512
- (9) U.S. Patent No. 6,229,694
- (10) AU 2000 53578
- (11) DE 36 37 684
- (12) EP 0 413 364
- (13) JP 4-88547
- (14) JP 08 287108
- (15) JP 59 123986
- (16) JP U3071329
- (17) WO 00/1827
- (18) WO 95/35534

Documents (7), (8) and (17) were cited in the enclosed International Search Report dated March 8, 2002 in a corresponding European Application.

Documents (10), (12) and (14) were cited during prosecution of an Australian patent application corresponding to the subject U.S. application. A copy of the Australian Examiner's Report dated October 10, 2003 is also enclosed.

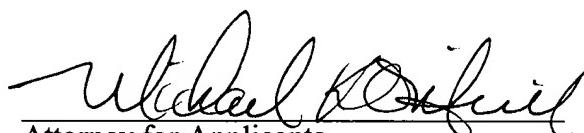
The concise explanation of relevance for Document (14) is provided in the Australian Examiner's Report and by the English language abstract.

An English language translation is provided with Document (18).

The Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

ATTY DOCKET NO.

00169.001833

APPLICATION NO.

09/666,482

APPLICANT

WILLIAM SIMPSON-YOUNG, et al.

APR 06 2004

PATENT &amp; TRADEMARK OFFICE

FILING DATE

September 20, 2000

GROUP

2876

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	5,002,062	03/26/1991	Suzuki	128	696	
	5,353,016	10/04/1994	Kurita, et al.	340	825.22	
	5,601,489	02/11/1997	Komaki	463	44	
	5,949,492	09/07/1999	Mankovitz	348	473	
	5,973,475	10/26/1999	Combaluzier	320	107	
	6,014,593	01/11/2000	Grufman	700	136	
	6,016,298	01/18/2000	Fischer	369	75.1	
	6,055,512	04/25/2000	Dean, et al.	705	17	
	6,229,694	05/08/2001	Kono	361	683	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION YES/NO/ OR ABSTRACT
	200053578	08/23/2000	AU			
	36 37 684	05/07/1987	DE			Abstract
	0 413 364	08/17/1990	EP			
	4-88547	03/23/1992	JP			Abstract
	08 287108	11/01/1996	JP			Abstract
	59-123986	07/17/1984	JP			Abstract
	U3071329	06/14/00	JP			
	00/11827	03/02/2000	WO			
	95/35534	12/28/1995	WO			Translation

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

International Search Report

Australia Examiner's Report

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form #62

Sheet 1 of 1